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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the U.S. Application of

Michael F. QUINN et al.

Group Art Unit: 2768

U.S. Serial No. 08/626,600

Examiner: Poinvil, F.

OFFICIAL

Filed: April 2, 1996

For: DOCUMENT STORAGE AND RETRIEVAL SYSTEM

FAX RECEIVED

Assistant Commissioner for Patents Washington, D.C. 20231

AUG 2 9 2002

GROUP 3600

SECOND PRELIMINARY AMENDMENT

Sir:

Prior to the calculation of the filing fee and initial examination on the merits, please amend the above-identified application as follows.

IN THE CLAIMS

Please add new claims 33-43.

Please cancel claims 1-12, 14-27 and 29-32 without prejudice or disclaimer. Claims 13 and 28 were previously cancelled.

33. (New) A trade records information management system for storing, searching, and retrieving data pertaining to financial transactions, comprising:

a plurality of central data storage means maintained at a plurality of regional processing centers, each central data storage means includes means for storing transaction data folders which

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